

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Attorney Docket No. DKT 01096  
(BWI-00073)

COVER SHEET FOR RECORDAL OF PATENT DOCUMENT

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. Section 3.31, enclosed herewith is a document for recordal in this case. The following information is provided:

(1) **Name of Party Conveying the Interest:**

Hal Pringle  
Robert D. Keefover  
Michael J. Halsig

(2) **Name and Address of Party Receiving the Interest:**

BorgWarner Inc.  
a Corporation of the State of Delaware  
having a place of business at  
3001 West Big Beaver Road – Suite 200  
Troy, Michigan 48084

(3) **Description of the Transaction to be Recorded:**

<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> License
<input type="checkbox"/> Change of Name	<input type="checkbox"/> Other _____

(4) **Application(s) and/or Patent(s) Against Which Enclosure is to be Recorded:**

☒ Serial Number 09/977,774; filed October 15, 2001.  
☐ Application being filed concurrently herewith.  
☐ Patent Number \_\_\_\_\_; issued \_\_\_\_\_.

(5) **Name and Address of the Party to Whom Correspondence Concerning the Request to Record Should be Mailed:**

Greg Dziegielewski, Esq.  
BorgWarner Inc.  
3001 West Big Beaver Road – Suite 200  
P.O. Box 5060  
Troy, Michigan 48007-5060

(6) **Number of Applications and/or Patents Identified in the Cover Sheet and Total Recordal Fee:**

Number of Applications/Patents    One (1)

Total Recordal Fee Enclosed    \$40.00

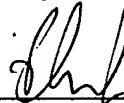
(7) **Date(s) the Document was Executed:** January 15, 2002

To the best of my knowledge and belief, the foregoing information is true and correct, and if the attached is not an original document, the undersigned verifies that it is a true copy of the original.

If, for some reason, applicant has not paid a sufficient fee, please charge Deposit Account No. 023182 (Borg-Warner Automotive, Inc.) for any further fees which may be due. A duplicate copy of this document is enclosed for this purpose.

Respectfully submitted,

WARN, BURGESS & HOFFMANN, P.C.  
Attorneys for Applicant(s)

By: 

Philip R. Warn  
Reg. No. 32775

P.O. Box 70098  
Rochester Hills, MI 48307  
(248) 364-4300

Dated: January 16, 2002

PRW:jmz



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,774	10/15/2001	Hal Pringle	DKT 01096

Patent Docket Administrator  
BorgWarner Inc.  
3001 W. Big Beaver Rd. - Suite 200  
P.O. Box 5060  
Troy, MI 48007-5060



CONFIRMATION NO. 3759  
FORMALITIES LETTER



\*OC000000007082637\*

Date Mailed: 11/16/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**


**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/29/2002 SSALEEKU 00000021 023182 09977774

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Attorney Docket No. DKT 01096  
(BWI-00073)

Group Art Unit: 3747

Examiner: (To Be Assigned)

Inventor(s): Hal Pringle, et al.

Serial No. 09/977,774

Filed: October 15, 2001

Title: "SUBMERGED ELECTRIC  
FLUID PUMP"

Transmittal of Original Declaration  
& Power of Attorney and  
Assignment

Attn: Application Processing  
Special Processing and  
Correspondence Branch

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

Jan 16/2002. By: [Signature]

Hon. Commissioner of Patents & Trademarks  
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Nonprovisional Application – Filing Date Granted" mailed on November 16, 2001, enclosed is the originally signed Declaration and Power of Attorney for the above-identified application, and a copy of the Notice.

Also enclosed herewith for filing in this application is an executed Assignment and Cover Sheet for Recordal of same.

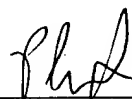
Applicant notes the fee due in the amount of \$170.00. This amount includes: \$130.00 - Surcharge for Late Filing of the Declaration; and \$40.00 – Assignment

Recordal fee. The calculated fees are to be charged to Deposit Account No. 023182 (BorgWarner Inc.).

Also, if, for some reason, applicant has not calculated a sufficient fee to prevent the abandonment of this application, please charge Deposit Account No. 023182 (BorgWarner Inc.) for any further fees which may be due. A duplicate copy of this document is enclosed for this purpose.

Respectfully submitted,

WARN, BURGESS & HOFFMANN, P.C.  
Attorneys for Applicant(s)

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Philip R. Warn  
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